



## **Report on the American Library Association's Committee on Cataloging: Description and Access, ALA Midwinter Conference, Chicago, Illinois, USA, 2015 January 31 and February 2**

Submitted to the Standing Committee of the IFLA Cataloguing Section by the IFLA Cataloguing Section Liaison to ALA CC:DA

The American Library Association's Committee on Cataloging: Description and Access (CC:DA) met at the ALA Midwinter Conference in Chicago, Illinois, USA, on Saturday 2015 January 31, 1:00-5:30 P.M.; and Monday 2015 February 2, 8:30-11:30 A.M. The full agenda is at

<http://alcts.ala.org/ccdablog/?tag=agenda>.

CC:DA Chair Mr. Robert Rendall (Columbia University) reported on motions and other actions taken by CC:DA between July and December 2014 (<http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/chair2014201502.pdf>). The Bavarian State Library's archiving of the current CC:DA Web site is still pending.

Library of Congress (LC) Representative Mr. David Reser reported on activities and news from LC ([http://alcts.ala.org/ccdablog/wp-content/uploads/2015/01/LC\\_ala\\_mw15.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2015/01/LC_ala_mw15.pdf)). Some of the highlights of his report:

- Roberta Shaffer retired as Associate Librarian of Congress for Library Services on 2014 August 22.
- The LC budget is in somewhat better shape this year than it has been recently.
- Name Authority Cooperative (NACO) completed Phase 3A of LC/NACO Authority File changes on 2015 January 6, updating some 190,000 authority records. Phase 3B will begin in mid-2015.
- Subject Authority Cooperative (SACO), in cooperation with the Music Library Association (MLA), created some 560 genre/form terms for musical works. SACO is also in the midst of converting

LC Subject Headings (LCSH) for fictitious characters and real non-human entities to RDA authorized access points.

- Monographic Bibliographic Record Program (BIBCO) has clarified instruction for creating BIBCO Standard Records (BSRs) with only Faceted Application of Subject Terminology (FAST) headings, derived from LCSH.
- Cooperative Program for Serials Cataloging (CONSER) Cataloging Manual is being revised to incorporate Program for Cooperative Cataloging (PCC) Policy Committee decisions regarding RDA treatment of microform reproductions.
- The [LChelp4RDA@loc.gov](mailto:LChelp4RDA@loc.gov) e-mail account has been retired. The more general [policy@loc.gov](mailto:policy@loc.gov) account should be used instead for cataloging questions.
- Library of Congress Genre/Form Terms (LCGFT) is adding about 175 general terms.
- Library of Congress Demographic Group Terms (LCDGT) expects to have its first group of terms approved by mid-2015.

ALA Representative to the Joint Steering Committee (JSC), Ms. Kathy Glennan (University of Maryland), reported on JSC activities between July and December 2014, including the JSC meeting in November 2014. Her full report is at <http://alcts.ala.org/ccdablog/wp-content/uploads/2015/01/JSCrep-kpg-2015-2.pdf>. Some highlights:

- Gordon Dunsire will continue as JSC Chair for a second two-year term (2016-2018).
- The next JSC meeting is tentatively scheduled for early November 2015 in Edinburgh, Scotland.
- Changes to the JSC's governance structure are coming, but remain uncertain. Representation from international users, the wider cultural sector, and the Linked Data community will be broadened.
- The complete examples linked in PDF files from the RDA Toolkit will be updated annually to coincide with the April RDA Update. Future example changes will go directly to the Examples Editor, not go through the JSC's Fast Track process.
- For the time being, the JSC will not make RDA changes to areas that are expected to be altered because of external factors (such as the deprecation of FRBR Type 3 entities and other effects of the FR consolidation). Such changes may be accepted in principle, but deferred for action. RDA numbering will be maintained through references when instructions are moved from one chapter to another, rather than renumbering the remainder of chapters.
- Among the major proposals acted upon:

- 6JSC/ALA/29: Clarifying core element status for “not identified” elements in the Distribution and Manufacture Statements (RDA 2.9 and 2.10). The “Cascading Vortex of Horror” has been resolved by removing Core status from the Copyright Date and from the Distribution and Manufacture Statements and their respective sub-elements.
  - 6JSC/ALA/32: Expanding the scope of Statement of Responsibility in RDA 2.4 and eliminating the instructions for Performers, Narrators, Presenters (RDA 7.23), and Artistic and/or Technical Credits (RDA 7.24). The sections of Chapter 7 will now refer to revised sections of Chapter 2. Best practices documents will need to be revised.
  - 6JSC/ALA/35: Creating instructions for using nominative case for titles (RDA 6.2), names (RDA 8.5), and places (RDA 16.2). The JSC rejected the original and an alternative proposal, regarding it has more of a training issue than a problem with RDA.
  - 6JSC/ALA/36: Clarifying instructions for Recording Duration (RDA 7.22) and Note on Carrier (RDA 3.21). Instructions at RDA 7.22 are being revised and generalized, but action on RDA 3.21 has been set aside pending the results of the CC:DA’s Task Force on Machine-Actionable Data.
  - 6JSC/ALA/Discussion/4: Transcription issues associated with the Production Statement (RDA 2.7). The JSC preferred a distinction between self-identifying and non-self-identifying resources, rather than making a decision between recording/unpublished versus transcribing/published.
- The JSC is forming three new task groups on aggregates, on Relationship Designators, and on fictitious entities.

The Task Force on Machine-Actionable Data Elements in RDA Chapter 3 strawman proposal ([http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA\\_TF\\_MachineActionableDataElementsinRDChapter3\\_5.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA_TF_MachineActionableDataElementsinRDChapter3_5.pdf)) was discussed. It postulates a high-level “measurements” element not associated with any particular WEMI entity and serving as a super-property for all measurements in RDA (Extent of Carrier, Dimensions, Duration, and so on). Because of the FR consolidation, this will not happen anytime soon. This idea could be a model for other high-level properties that could make RDA more coherent and less redundant. The archives community needs to be consulted regarding its treatment of dimensions as extent in the instance of storage space. Extent will likely need to combine controlled vocabulary, as much as possible, with uncontrolled terms, when necessary. Subunits may be carrier- or content-related for reproduced manifestations, where the description of the original can usually reflect the extent of the reproduction.

The Task Force to Investigate the Instructions for Recording Relationships in RDA submitted two discussion papers:

- Instructions for Recording Structured Descriptions of Related Manifestations ([http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA\\_TF\\_InstructionsforRecordingRelationships\\_6.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA_TF_InstructionsforRecordingRelationships_6.pdf)) dealt with Container of/Contained in and Reproduced as/Reproduction of. In the discussion, it was suggested to use “component part” for such things as chapters in a book or elements in a compilation, to distinguish it from the term “part” in a musical context. Contents information may be best derived from manifestations and it may be worth bringing other techniques (such as Authorized Access Points, structured descriptions, and unstructured descriptions) into RDA Chapter 27.
- Instructions for Describing Accompanying Material in RDA ([http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA\\_TF\\_InstructionsforRecordingRelationships\\_7.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA_TF_InstructionsforRecordingRelationships_7.pdf)) suggests that Appendix J does not accommodate all of the relationships that need to be expressed regarding accompanying material. The issue of extent (MARC 300 subfield \$e) is really separate from that of the relationship itself. An aim of the group is to try to account for current practice without restricting our options for future practices.

The Task Force on Relationship Designators in RDA Appendix K has been working on incorporating two FRAD relationships:

- Secular and religious identities (as in a pope’s secular name and religious name) are currently accounted for in MARC as what amounts to a name change. Relationship Designators need to be able to apply to these sorts of variant names. Ms. Glennan noted that the FR consolidation will have some impact on this issue. Mr. Dunsire suggested adding this issue to the eventual proposal.
- Attributed relationship, between one person and another person who has assumed the identity of the first (as in many historical “Pseudo-X” names). These are not pseudonyms, but are instead persons trying to impersonate another in order to pass off works of one as works of the original. Although “erroneous identity” and “false identity” were discussed, the Relationship Designator should not imply some sort of mistake because the intended confusion is often purposeful.

Mr. Matthew Haugen (Columbia University) reported that DCRM (Graphics) is available, DCRM (Cartographic) is out for comments by 2015 March 1, and DCRM (Manuscripts) will be coming along soon. Reporting on the Reference to Published Description proposal, he noted that although published description relationships are limited to Work/Work, they should really be expanded to all WEMI levels.

Ms. Lori Robare (University of Oregon), reported on activities of the PCC Standards Committee (<http://alcts.ala.org/ccdablog/wp-content/uploads/2015/01/PCC-Report-February-2015.pdf>). The PCC strategic plan will emphasize that the PCC generates trusted data, is recognized as an influential source of knowledge, and is trying to expand continuing education, including training about Linked Data. The PCC is building infrastructures and alliances. It is helping to navigate a shift in authority control from

strings to identities and entities and to build new funding models for sustainability. The Standards Committee has been hard at work on LC-PCC Policy Statements regarding reproductions and print-on-demand (in the February 2015 release) and the series policy task group. The BIBCO Standard Record (BSR) and CONSER Standard Record (CSR) documents will be in synch with PSs in the RDA Toolkit. The training manual for Relationship Designators for Bibliographic records will be available soon and the committee with so the same for Authority records in the future.

Mr. John Myers (Union College), the CC:DA Liaison to the MARC Advisory Committee (MAC), reported on the activities of the MAC. The MAC agenda is at [http://www.loc.gov/marc/mac/mw2015\\_age.html](http://www.loc.gov/marc/mac/mw2015_age.html). As the OCLC Representative to the MAC, I include my full report here:

MARC Advisory Committee (MAC). Saturday, 2015 January 31, 8:30-10:00 a.m.; and Sunday, 2015 February 1, 3:00-5:30 p.m. OCLC Representative.

MARC Proposal No. 2015-01: Defining Values in Field 037 to Indicate a Sequence of Sources of Acquisition in the MARC 21 Bibliographic Format (<http://www.loc.gov/marc/mac/2015/2015-01.html>).

Summary: This paper proposes the definition of values for Indicator 1 in Field 037 to sequence sources of acquisition. It also proposes the definition of subfields \$3 and \$5 so that the materials and institution or organization to which a source of acquisition applies can be recorded.

Outcome: Passed nearly unanimously.

MARC Proposal No. 2015-02: Adding Dates for Corporate Bodies in Field 046 in the MARC 21 Authority Format (<http://www.loc.gov/marc/mac/2015/2015-02.html>).

Summary: This paper proposes that date of establishment and date of termination of a corporate body are accommodated in Field 046 (Special Coded Dates).

Outcome: Passed unanimously. Discussion included some desire to define the new subfields as the earliest and/or latest *known* dates, but there was general agreement that this was already understood. There were questions about revising legacy data to move existing subfields \$s and \$t to newly-defined subfields \$q and \$r, respectively, for corporate bodies. PCC Automation Committee Chair Shana McDanold (Georgetown University) suggested that Gary Strawn (Northwestern University) would welcome that as a challenge.

MARC Proposal No. 2015-03: Description Conversion Information in the MARC 21 Bibliographic Format (<http://www.loc.gov/marc/mac/2015/2015-03.html>).

Summary: This paper proposes defining a new field in the MARC 21 Bibliographic Format where the information regarding the process for the conversion of data in a description can be recorded.

Outcome: Passed, with changes. The Field Definition was revised to read: "Used to provide information about the origin of a MARC record which has been converted by machine from another metadata structure." Subfield \$d was changed to subfield \$g to leave room for the future possibility of three paired sets of subfields to identify the source format, the transformation process, and the target format, and the version of each.

MARC Proposal No. 2015-04: Broaden Usage of Field 088 in the MARC 21 Bibliographic Format (<http://www.loc.gov/marc/mac/2015/2015-04.html>).

Summary: This paper proposes broadening the usage of field 088 (Report Number) in bibliographic records to include series numbers (in particular for series in technical report and government publications) by deleting the sentence "Not used to record a number associated with a series statement" in field 088's field definition and scope.

Outcome: Passed unanimously, with minor corrections to some of the examples.

MARC Proposal No. 2015-05: Definition of New Code for Leased Resources in Field 008/07 in the MARC21 Holdings Format (<http://www.loc.gov/marc/mac/2015/2015-05.html>).

Summary: This paper proposes the definition of a new code for leased resources in 008/07 (Method of acquisition) in the MARC21 Holdings Format.

Outcome: Passed unanimously, with minor corrections. Up until now, leased resources had probably been coded as "z" (Other method of acquisition, if coded at all. This information is often tracked in an acquisitions database or in a Knowledge Base rather than in the MARC Holdings format. It was generally agreed that legacy coding was not a concern.

MARC Proposal No. 2015-06: Defining New Subfield in Field 382 for Coding Number of Ensembles in the MARC 21 Bibliographic and Authority Formats (<http://www.loc.gov/marc/mac/2015/2015-06.html>).

Summary: This paper proposes that subfield \$e (Number of ensembles) be added to field 382 (Medium of Performance) in order to allow for the coding of number of ensembles.

Outcome: Passed unanimously.

MARC Discussion Paper No. 2015-DP01: Recording RDA Format of Notated Music in the MARC 21 Bibliographic Format (<http://www.loc.gov/marc/mac/2015/2015-dp01.html>).

Summary: This paper presents options for recording the RDA data element Format of Notated Music in the Bibliographic format.

Outcome: There was a general agreement on the need to identify the format of notated music. John Myers (Union College) noted that this was in line with the need for machine-actionable extent data. I suggested that the definitions for the various notated

music formats found in such sources as MARC 21 and RDA be harmonized. There was a general preference for the use of field 348, but also support for corresponding use of field 655.

Library of Congress report: LC is beginning a BIBFRAME pilot project with a “cobbled together” version of BIBFRAME. Their major concern at this point is that a good MARC record comes out at the end of the process, even though not everything is being carried over from MARC to BIBFRAME. Eight Technical Notices have been issued since last June; the code that includes a slash will be corrected.

Mr. James Hennelly reported from ALA Publishing Services that RDA Toolkit subscribers and revenue goals were met for Fiscal Year 2014. As of December 2014, there were roughly 3000 subscriptions, accounting for some 7000 users. The 80% renewal rate for the fiscal year was a bit lower than expected. Sales for the print version of RDA were better than expected, 610 units through December. As long as the revisions are as extensive as they are now, the annual print versions will be perfect-bound and print-on-demand, rather than loose-leaf. There was no e-book version for 2014, but if demand calls for it, one is possible for 2015. The 2015 February 17 RDA Update is on schedule and will include the full integration of the Music Library Association best practices. The Spanish translation is delayed until early March. Italian and Finnish translations are in progress, with possible releases later in 2015. Arabic and Catalan translations are in negotiations. The August 2014 release constituted just the full German translation. The October 2014 release included the British Library Policy Statements. The manuscript of “RDA Essentials,” the equivalent of “Concise AACR2,” is complete and under JSC review and is expected to be available in late 2015. With the new content management system, there should be no more restrictions on adding fast-track changes and other updates should be easier to make on a more timely basis.

Two proposals from the American Association of Law Libraries (AALL) were discussed:

- Revision Proposal for RDA Instructions for Laws, etc. ([http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA\\_AALL\\_2014\\_2.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA_AALL_2014_2.pdf)) calls for the elimination of the uniform title “Laws, etc.,” which does not facilitate FISO user tasks, is hard to apply, is not well-understood by users, and has not been consistently applied by catalogers. LCGFT now includes much more useful genre/form terms that could result in greatly improved access. “Laws, etc.” is an exceptional practice, used only for compilations of laws on more than a single subject. The JSC has been trying to reduce or eliminate such exceptional practices. Doing away with “Laws, etc.” would also resolve a specific issue that raises wider issues regarding aggregates that the JSC is already dealing with in the Aggregates group. The proposal was approved and passed on to the JSC.
- Revision Proposal for RDA Instructions for Armed Forces (11.2.2.22.1) ([http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA\\_AALL\\_2014\\_1.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2014/12/CCDA_AALL_2014_1.pdf)) calls for a clarification in the use of commas in inverted names and corrects some typos involving commas and periods for ordinal numbers in RDA. After some discussion, Mr. Reser suggested that the

proposal is for of a clarification than a change of practice, so it may qualify for fast-track. The proposal will be reworked with fast-track in mind.

Ms. Glennan presented her paper "Problem: Multiple Sources for Statements of Responsibility (RDA 2.4)" (<http://alcts.ala.org/ccdablog/wp-content/uploads/2015/01/KPG201501.pdf>) for discussion, raising the question of whether "in association with" meant intellectually or physically. Interpretation #2 was taken to be the strictly "correct" RDA method, but discussion revealed a desire for more flexibility in actual practice. Ms. Glennan will work with others to devise some clarifications and possible changes.

The Task Force on Pseudonymous Corporate Bodies reported that, because the FR consolidation will have implications for this issue, and because a similar British Library paper has been tabled by the JSC, this effort will be suspended as JSC work proceeds. This is one of the four new JSC working groups in the process of being appointed.

The Task Force on Place Names in RDA has been disbanded because its work has been superseded by a corresponding JSC group (consisting of mostly the same people).

More feedback is wanted on the CC:DA blog. Often, the misconceptions or misinterpretations of one committee member can lead to needed clarifications that enlighten everyone. Breaking proposals into logical groups was encouraged. An upcoming app will inform one of further updates once one has posted a blog comment. Even "me, too" comments are considered useful and might be encouraged via the use of straw polls, Doodle polls, and/or social media "likes."

Upcoming business in the 2015/2016 timeframe will include FR consolidation and revisions to the consolidated ISBD.

Respectfully submitted by

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