



## **Report on the American Library Association's Committee on Cataloging: Description and Access, ALA Annual Conference, Chicago, Illinois, USA, 2013 June 29 and July 1**

Submitted to the Standing Committee of the IFLA Cataloguing Section by the IFLA Cataloguing Section Liaison to ALA CC:DA

The American Library Association's Committee on Cataloging: Description and Access (CC:DA) met at the ALA Annual Conference in Chicago, Illinois, USA, on Saturday 2013 June 28, 1:00-5:30 P.M.; and Monday 2013 July 1, 8:30-11:30 A.M.

CC:DA Chair Mr. Peter Rolla (Harvard University) reported on motions and other actions taken by CC:DA between February and June 2013 (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/06/Chair-report-Annual-2013.pdf>). With its mandate completed, the Task Force to Investigate Changes Affecting RDA in the *Chicago Manual of Style, 16th edition*, was disbanded with thanks.

Library of Congress (LC) Representative Mr. David Reser reported on activities and news from LC (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/06/lc201306.pdf>). Some of the highlights of his report:

- Ms. Geraldine Ostrove, cataloging policy specialist for music, retired on 2013 May 31.
- LC has made available for download two software code sets that convert current MARCXML records to BibFrame, with a demonstration area at <http://bibframe.org/demos/> that includes sample collections from the BibFrame early experimenters.
- The first updates to the Library of Congress-Program for Cooperative Cataloging Policy Statements (LC-PCC PSs) for RDA were published in May 2013 and the second on July 9. The next update is scheduled for November 2013.
- LC fully implemented *Resource Description and Access* (RDA) on 2013 March 31 for all Authority work and most Bibliographic records.

- RDA changes to the LC/NACO Name Authority File, which were developed by the PCC Acceptable Headings Implementation Task Group were made during March 2013. These “Phase 2” changes updated Authority records that had defined predictable characteristics that were considered safe to do via machine manipulation. The same programmatic changes were performed on LC Bibliographic records during April, May, and June 2013. LC Subject Headings that were affected by the RDA Phase 2 changes were also updated, although there is currently no plan to revise the headings that include abbreviations not permitted in RDA (such as “ca.” associated with dates in 6XX subfield \$y).
- As part of the 2013 July 9 update to the RDA Toolkit, headings for fictitious and legendary characters and animals with proper names may now be considered as creators or contributors to works. These names will now be established only in the Name Authority file using RDA instructions.
- Review of the LC *Subject Headings Manual* (SHM) in light of RDA is in progress and will be incorporated into *Cataloger’s Desktop* in November 2013.
- Recent developments for the ALA-LC Romanization Tables include:
  - Approved revisions to the Urdu, Pushto, and Sindhi tables.
  - New Macedonian, Rusyn, Serbian, and Tamashek tables currently under review; the Macedonian and Serbian tables were developed from the current Serbian and Macedonian table.
  - Revisions to the Bulgarian table currently out for constituent review.
  - A Coptic proposal nearly complete.

All current ALA-LC Romanization Tables are now included in *Cataloger’s Desktop*, where they can be searched and retrieved; they are also freely available on the Web at <http://www.loc.gov/catdir/cpsd/roman.html>. A revision proposal for Tibetan based on the Wylie transliteration scheme is being developed, with no target date yet. Revision proposals for Mongolian and Uighur need additional editorial work and are awaiting automation support.

- Beginning on 2013 July 1, LC cataloging publications became available as free, downloadable PDFs through LC’s Acquisitions and Bibliographic Access Directorate Website at <http://www.loc.gov/aba/>. This is part of a transition to online-only publication of LC Cataloging Distribution Service (CDS) cataloging documentation.

The outgoing ALA Representative to the JSC, Mr. John Attig (Pennsylvania State University), introduced his successor, Ms. Kathy Glennan (University of Maryland). The RDA release of May 2013 included the French and German RDA translations and the full rewording accomplished by Ms. Chris Oliver (McGill University). The July update includes all Joint Steering Committee (JSC) revisions from its November

2012 meeting. The November 2013 JSC agenda is expected to be lighter than recent ones, although it will include the proposal to rationalize all forms of place names, both as Authorized Access Points (AAPs) and as qualifiers.

A proposal on “Variant title as access point (RDA 6.27.4.1)” (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/05/jsc1305.pdf>) had support in a straw poll. If accepted, it would have implications for other areas in RDA that follow a similar pattern. It was pointed out that the OCLC authority macro already accounts for this with the creation of appropriate fields 430 in Authority records.

The Task Force on Relationship Designators in RDA Appendix K reported on its continuing work on incorporating recommendations from the CC:DA meeting during January 2013 (<http://alcts.ala.org/ccdablog/wp-content/uploads/2012/06/tf-appK5.pdf>). It was clarified that the divisions of the appendix into sections was intended as an aid to human catalogers, not to impose meaning. The general Relationship Designators (RDs), which may be used to relate multiple entities (personal, corporate, and family), will be distributed where appropriate within the sections, with their definitions intact, with an eye toward helping catalogers.

The Task Force on Instructions on Recording Relationships submitted its final report (<http://alcts.ala.org/ccdablog/wp-content/uploads/2012/06/tf-relationships4.pdf>). Ms. Glennan, Mr. Attig, and the task force will continue work on this, because it is not yet ready to become a proposal. Clarification is still needed on such questions as describing the relationships of accompanying material and contents.

The Task Force on Machine-Actionable Data Elements in RDA Chapter 3 submitted its interim report ([http://alcts.ala.org/ccdablog/wp-content/uploads/2012/06/tf\\_MRdata4.pdf](http://alcts.ala.org/ccdablog/wp-content/uploads/2012/06/tf_MRdata4.pdf)). Additional cases where machine action would be useful will be added to the report before it is sent to the JSC.

Although there remain questions about treaty dates and signatories both in MARC and in RDA, the revision proposal from the American Association of Law Libraries (AALL) regarding RDA instructions for treaties (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/05/aall2013-1.pdf>) will be forwarded as written to the JSC.

The RDA Conference Forums and Programs Task Force reported that it was disbanding following this ALA Annual Conference after having presented six preconferences and 19 RDA forums since 2008.

MARBI Representative Mr. John Myers (Union College) reported that the MARC Advisory Committee (MAC) will continue the work of MARBI, which holds its final meeting at this Chicago conference. The exact relationship of the liaisons to MAC is still being defined. Two discussion papers and two proposals were on the agenda for MARBI:

- MARC Discussion Paper No. 2013-DP05, “Defining Indicator Values for Field 588 Source of Description Note in the MARC 21 Bibliographic Format”

<http://www.loc.gov/marc/marbi/2013/2013-dp05.html>) will return as a proposal based on Option 1, in which field 588 First Indicator “blank” will be defined as “No display constant generated.” There was consideration of splitting Indicator “0” into the two different display constants proposed, but there was more support for divorcing the explicit textual aspect of the indicator from its use as a conceptual indication of the sort of data represented in the field.

- MARC Discussion Paper No. 2013-DP06, “Defining New Field 388 for Chronological Terms in the MARC 21 Authority Format” (<http://www.loc.gov/marc/marbi/2013/2013-dp06.html>) will return as a proposal. There was extensive discussion about distinguishing single works versus aggregate works, historical periods versus actual dates, and the date of creation versus the date of compilation or aggregation.
- MARC Proposal 2013-08, “Defining Subfield \$7 in the 8XX Series Added Entry Fields in the MARC 21 Bibliographic Record” (<http://www.loc.gov/marc/marbi/2013/2013-08.html>), adding indications of Type of Record and Bibliographic level in subfield \$7 in 8XX fields, was approved with minor changes.
- MARC Proposal 2013-09, “Defining Subfields for Qualifiers to Standard Identifiers in the MARC 21 Bibliographic, Authority, and Holdings Formats” (<http://www.loc.gov/marc/marbi/2013/2013-09.html>), adding subfield \$q (Qualifying Information) to fields 015 (National Bibliography Number), 020 (ISBN), 024 (Other Standard Identifier), and 027 (Standard Technical Report Number), was approved with minor edits.

ALA Publishing’s Mr. Troy Linker reported that “fast track” changes to RDA are included in each and every release. In *Cataloger’s Desktop*, RDA links to AACR2 go to the *Cataloger’s Desktop* version of AACR2. The standard schedule of updates is now quarterly, but will be flexible. The major update will correspond to the JSC meeting. The AACR2 index is restored in the July 2013 update to *Cataloger’s Desktop*. It also includes an upgraded workflow editor, the French and German RDA translations, and policy statements from the National Library of Australia (links to which may be toggled on and off). The English rewording of RDA was completed in May 2013, but some subsequent rewording or translations is still in progress. The Spanish translation is in its first draft and could be ready for November 2013, although February 2014 looks more likely. Other translations are in discussion or in progress; the Chinese translation will be in print only, not in the Toolkit. The Metadata Registry vocabulary will be integrated into the RDA Toolkit. A completely new cumulation of the print version of RDA will be made available in September 2013, representing all releases through July 2013. An e-book version of this print RDA will have internal links but will not link to any external sources, will have rudimentary searching capabilities that will depend upon the device on which it is read. There are currently around 2000 institutions subscribing to the RDA Toolkit, including subscribers from 67 countries. This is a shortfall from earlier projections, but it appears to be mostly a matter of users subscribing later than expected. There was some discussion about the possible development of a side-by-side display capability for direct comparison of different RDA translations.

CC:DA webmaster Ms. Melanie Polutta reported that older archival materials, including CC:DA agendas going back to 2008 have been reconstructed and added to the new site (<http://alcts.ala.org/ccdablog/?cat=11>). The former CC:DA site (<http://downloads.alcts.ala.org/ccda/>) retains older agendas and documents. Ms. Polutta will continue to transfer documents from the old to the new site going back to 2005; this will include all task forces active in 2005 and onwards. Most pages on the new site include a “Print Friendly” icon.

Ms. Glennan reported on the activities of the Program for Cooperative Cataloging (PCC) (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/06/PCC-Report-for-CCDA-June-2013.pdf>).

Among her points:

- Recent updates to “PCC Post RDA Test Guidelines” (<http://www.loc.gov/aba/pcc/rda/PCC%20Post%20RDA%20Test%20Guidelines.html#Maintab>), “Post Implementation Hybrid Record Guidelines” (<http://www.loc.gov/aba/pcc/rda/PCC%20RDA%20guidelines/Post-RDA-Implementation-Guidelines.html#Hybrid>), and “Frequently Asked Questions: Program for Cooperative Cataloging and RDA” (<http://www.loc.gov/aba/pcc/rda/PCC-RDA-FAQ%20Update%2020130401.doc>) are now available.
- Much work has been done on RDA Relationship Designators (RDs), including the completion of the “PCC Guidelines for the Application of Relationship Designators in Bibliographic Records” (<http://www.loc.gov/aba/pcc/rda/PCC%20RDA%20guidelines/Relat-Desig-Guidelines.docx>). These guidelines will be refined with further experience. Suggestions for new RDs are funneled through the PCC Standing Committee on Standards, forwarded to CC:DA, and passed on to the JSC.
- The “LC-PCC PS for 9.19.1.4, Option (Fuller Form of Name)” ([http://www.loc.gov/aba/rda/pdf/LC\\_PCC\\_PS\\_9\\_19\\_1\\_4\\_option%20web.pdf](http://www.loc.gov/aba/rda/pdf/LC_PCC_PS_9_19_1_4_option%20web.pdf)) had been posted on the PCC Web site in February 2013. Because of the delay in updating the Toolkit in early 2013, it was declared in effect as of the posting, but has since been incorporated into RDA with the May 2013 update.
- The PCC Standing Committee on Standards continues to work through the LC-PCC PSs, now including new PSs and changes to existing ones. Plans are underway to move the CONSER Standard Record and BIBCO Standard Record Core element statements into the Toolkit.
- The PCC Standing Committee on Training has made RDA in NACO “bridge” training videos available on the Catalogers Learning Workshop (CLW) (<http://www.loc.gov/catworkshop/RDA%20training%20materials/index.html>) Web site. The Task Group on RDA NACO Training Materials is completing work on the new full NACO training materials for RDA.

The Task Force on Place Names reported that sections of RDA Chapter 16 remain in flux. Instructions are generally being simplified, standardizing treatment of place names regardless of the country, and allowing policy statements to decide national practices.

Ms. Kelley McGrath (University of Oregon) presented “Discussion Paper on the Inconsistency Between the Statement of Responsibility Element in Chapter 2 and the Performer, Narrator, Presenter and Artistic and/or Technical Credit Elements in Chapter 7” (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/05/mla2013-1.pdf>) for discussion. Mr. Attig noted that ISBD is silent regarding performers in statement of responsibility, although it does talk about major and minor responsibility. It will be difficult to devise a principle that will work in all cases, but perhaps Relationship Designators may be used to identify responsibilities in place of formal statements of responsibility. Ms. McGrath, Ms. Tracey Snyder (Cornell University), and Mr. Attig will continue work on this paper, in preparation for future presentation to the JSC.

Mr. Adam Schiff presented the paper “Pseudonymous Corporate Bodies” (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/05/schiff2013-1.pdf>), which resulted in a task force being formed to consider the issue of both corporate and family pseudonyms.

Mr. Attig presented the paper “Colour Content (RDA 7.17)” (<http://alcts.ala.org/ccdablog/wp-content/uploads/2013/06/jsc1306.pdf>). There was considerable discussion, including issues of black-and-white versus grayscale, tinting versus toning, and the histories of microfilming, lithography, and other graphic processes. There was also concern about how specific or general any recommendations should be. Mr. Attig will revise the paper in light of these discussions.

In his Chair’s report, Mr. Rolla noted that the ALA Subject Access Committee (SAC) was proposing work on the subject chapters in RDA and inviting comments from CC:DA. The Art Libraries Society of North America (ARLIS/NA) is working on changes to RDA’s treatment of art catalogs, including the role of illustrations in such resources, the relationship of the artist to the catalog, and the use of the artist’s name as a catalog title. There was discussion of such RDs as “set to music as” and “dramatized as.” Also discussed was the possibility of a reconciliation between RDA practices for unidentified publishers, distributors, manufacturers, etc. and the instructions about the data being “readily ascertainable.” In the music cataloging community, this has come to be known as the “Cascading Vortex of Horror” (CVOH) that threatens to result in absurd publication statements.

Respectfully submitted by

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